Off-Road Fleet Certificate Check

One sheet per fleet: your own, a subcontractor, or a rental fleet. Complete electronically or print. Attach the actual DOORS-issued certificate; this worksheet is not a CARB certificate or filing.

01 Identify the fleet and project

Copy the legal fleet name and DOORS ID from the certificate. Use the notes box for any exemption or unresolved scope.

Legal fleet name

DOORS fleet ID

Project / contract reference

New / renewal contract date

Review date

Fleet being checked

Reviewer role

Contracting requirement applies?

Fleet business address (street, city, state, ZIP)

Responsible party / contact

Business email

Business phone

02 Check the certificate

13 CCR 2449(i)(1)-(2)

Obtain valid certificates before covered contracts. For the checks below, select or write Yes, No, Unknown or N/A.

Compliance year shown

Issue date shown

Valid-through date, if shown

Date copy obtained

Certificate file name / storage location

Actual Certificate of Reported Compliance attached or saved?

Fleet name and DOORS ID agree with the party being reviewed?

Certificate valid for the new / renewed contract date?

Separate certificate checks completed for applicable listed subcontractors?

03 Keep records and assign follow-up

13 CCR 2449(i)(3)

Covered project certificates: retain for 3 years after project completion. Record a hold date and resolve any missing evidence.

Project completion date

Certificate retain-through date

Follow-up owner

Missing items / applicability basis / next action and due date

Reviewed by (name / initials)

Review outcome - not regulatory approval

Prime contractors: collect current-year certificates March 1-June 1 for contracts ongoing March 1 [A]. Report discovered missing certificates or observed noncompliance within 5 business days [B].

[A] 2449(j)(1) [B] 2449(j)(3) Certificate basis: 2449(n) Exemptions: 2449(b), (i)(4)

OPTIONAL SUPPORTING WORKSHEET

Equipment & Engine Record

Using this form is optional. Applicable CARB reporting requirements still apply. 13 CCR 2449(g)

01 Connect this equipment to its fleet

Use one copy per vehicle / reportable engine. Match the fleet and DOORS ID to the certificate-check sheet.

Legal fleet name | DOORS fleet ID | Equipment sheet reference

02 Record the vehicle information

13 CCR 2449(g)(1)(B)

Read the equipment identification plate and fleet records. Record unavailable data as unknown; do not guess.

CARB equipment ID (EIN), if assigned | Internal asset ID (optional) | License plate, if applicable

Vehicle type (CARB category) | Vehicle manufacturer | Vehicle model

Vehicle model year | Vehicle serial number / VIN

Purchase date * | In-service date * | California entry date (if applicable)

* Purchase / in-service fields: CARB DOORS Initial Reporting Guide, p. 2

03 Record this engine

13 CCR 2449(g)(1)(C)

Use the engine label and supporting records. The engine model year may differ from the vehicle model year.

Engine manufacturer | Engine model | Engine serial number

Engine model year | Maximum horsepower | Displacement (liters)

EPA engine family (if any) | Engine role / number (e.g., auxiliary 2)

Tier / on-road standard (from records) | Engine label / certification evidence reference

04 Compare the equipment with the record

Labeling: 13 CCR 2449(f)

These SFM review prompts help identify discrepancies. Attach photos or document references and use page 3 to record follow-up.

EIN agrees with DOORS record? | EIN labels legible on both sides? | Engine details agree with records?

- | - | -

EIN / equipment / engine-label photo file references

Use with page 3: special designations, engine changes, retrofits and funding may require additional records. This is a focused data-review aid, not a complete DOORS application or a full compliance determination.

OPTIONAL SUPPORTING WORKSHEET

Conditional Details & Follow-Up

Using this form is optional. Applicable CARB reporting requirements still apply. 13 CCR 2449(g)

Fleet / DOORS ID / EIN or equipment sheet reference

01 Identify special vehicle circumstances

13 CCR 2449(g)(1)(B)

Complete applicable items; mark others N/A. For every Yes or Unknown, identify supporting records or follow-up below.

Low-use designation claimed?	-	If low-use: operated outside CA last year?	-
Specialty-vehicle designation?	-	Used solely for emergency operations?	-
Dedicated snow-removal vehicle?	-	Agricultural use over half of annual hours?	-
Electric vehicle replaced diesel equipment?	-	SOON-funded retrofit / repower / replacement?	-
Carl Moyer-funded equipment / retrofit?	-	VDECS demonstration-program installation?	-
VDECS safety exemption claimed?	-	Early-installation VDECS BACT exemption?	-

02 Document engine changes and retrofit details

13 CCR 2449(g)(1)(C)-(D)

Complete engine-change fields only when relevant. VDECS means a verified diesel emission control strategy.

Repower? / date

Rebuilt? / date

Rebuilder / resulting tier, if known

Flexibility engine?

-

On-road / lower-standard certification; standard and EO / certificate no.

VDECS manufacturer / family

VDECS serial number

Installation date

VDECS verification level

Verified NOx reduction, if any

Engine-change / retrofit record reference

03 Attach conditional evidence and close the review

13 CCR 2449(g)(1)(B), (E)-(H)

Record grant dates and special-use evidence. Where applicable, attach non-diesel replacement, substitute-system or early-credit records.

SOON contract start / end dates; Moyer / demonstration program reference

Special-use / low-use / replacement details and attachment references

Discrepancy / action reference

Action owner

Due date

Selections do not establish exemption or credit eligibility. Identify supporting evidence and attach dated hour readings and relevant logs for low-use or agricultural records. Use applicable CARB forms for additional cases.

Hour records: 13 CCR 2449(h)(1)-(2) Annual reporting: 13 CCR 2449(g)(2)